## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IIW

Applicant:

Robert M. Carlson et al.

Title:

ANTI-FUNGAL FORMULATION OF TRITERPENE AND ESSENTIAL OIL

Docket No.:

1339.016US1

Filed: Examiner:

April 2, 2004 Unknown Serial No.: 10/816,804

Due Date: N/A

Group Art Unit: 1617

## MS Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

X A return postcard.

An Information Disclosure Statement (2 pgs.), Form 1449 (10 pgs.), and copies of 84 cited documents, including the International Search Report for corresponding PCT Application No. PCT/US2004/010351 (7 pgs.)

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Atty: Gary J. Speier Reg. No. 45,458

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 1174 day of August, 2005.

Name

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)



## IN THE CONTROL STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert M. Carlson et al.

Examiner:

Unknown

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1617

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ANTI-FUNGAL FORMULATION OF TRITERPENE AND ESSENTIAL OIL

## INFORMATION DISCLOSURE STATEMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Several of the documents, listed on the attached 1449, were discovered as a result of a Search Report in Applicant's corresponding foreign patent application. Enclosed for the Examiner's information is a copy of the Search Report and copies of the following documents cited therein:

Ali-Shtayeh, et al, "Antifungal Activity of Plant Extracts Against Dermatophytes";

EP 0093563A2

EP 0824913A2

EP 1031348A2

GB 14768

GB 2051575

JP 01-290619

JP 11-012122

WO 02/26761A1

WO 03/092712A1

The concise explanation and relevance of the non-English language documents is believed to be provided by the category listing, e.g. "A", "X", "Y", etc., on the enclosed Search Report according to MPEP 609.

Title: ANTI-FUNGAL FORMULATION OF TRITERPENE AND ESSENTIAL OIL

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered. The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any U.S. Patents or the Published Application cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's belowsigned representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

ROBERT M. CARLSON ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938 Minneapolis, MN 55402 (612) 359-3261

Date 8/11/05

Reg. No. 45,458

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PTO/SB/08A(10-01)
Approved for use through 10/31/2002, USB 63-10031
USP etam 6 Tradement Office: U.S. DEPARTMENT OF COMMERCE.
The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/816,804 **Application Number** STATEMEN BY APPLICANT April 2, 2004 Filing Date Carlson, Robert **First Named Inventor** AU6 1 5 2005 **Group Art Unit** 1617 Unknown **Examiner Name** Attorney Docket No: 1339.016US1

		US P.	ATENT DOCUMENTS	
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**EXAMINER** 

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE Complete if Known 10/816,804 **Application Number** STATEMENT BY APPLICANT April 2, 2004 Filing Date (Use as many sheets as necessary) Carlson, Robert **First Named Inventor Group Art Unit** 1617 Unknown **Examiner Name** Attorney Docket No: 1339.016US1 Sheet 2 of 10

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**EXAMINER** 

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/816,804 **Application Number** STATEMENT BY APPLICANT **Filing Date** April 2, 2004 (Use as many sheets as necessary) Carlson, Robert **First Named Inventor Group Art Unit** 1617 **Examiner Name** Unknown Attorney Docket No: 1339.016US1 Sheet 3 of 10

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INFORMATION DISCLOSURE Complete if Known 10/816,804 **Application Number** STATEMENT BY APPLICANT April 2, 2004 Filing Date (Use as many sheets as necessary) Carlson, Robert **First Named Inventor Group Art Unit** 1617 **Examiner Name** Unknown Attorney Docket No: 1339.016US1

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**EXAMINER** 

Sheet 4 of 10

Substitute for form 1449A/PTO	Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it collected and value of the Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/816,804	
(Use as many sheets as necessary)	Filing Date	April 2, 2004	
	First Named Inventor	Carlson, Robert	
	Group Art Unit	1617	
	Examiner Name	Unknown	
Sheet 5 of 10	Attorney Docket No: 1339.016US1		

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	WO-2004/089357A2	10/21/2004	Carlson, R. M., et al.			

	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T'
	.,	"Children's Medical Ventures - Aquaphor®", (Information relating to Aquaphor®), http://www.childmed.com/products/showprod.asp?fldAuto-19, (Observed August 6, 2002), 1 pg.	
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**EXAMINER** 

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on of information unless it contains a valid CM80 control number.

Substitute for form 1449A/PTO	Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	<b>Application Number</b>	10/816,804		
(Use as many sheets as necessary)	Filing Date	April 2, 2004		
	First Named Inventor	Carlson, Robert		
	Group Art Unit	1617		
	Examiner Name	Unknown		
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/816,804 **Application Number** STATEMENT BY APPLICANT April 2, 2004 **Filing Date** (Use as many sheets as necessary) Carlson, Robert **First Named Inventor** 1617 **Group Art Unit Examiner Name** Unknown Attorney Docket No: 1339.016US1 Sheet 7 of 10

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	First Named Inventor	Carlson, Robert	
	Group Art Unit	1617	
	Examiner Name	Unknown	
Sheet 8 of 10	Attorney Docket No: 1	339.016US1	

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	Group Art Unit	1617	
	Examiner Name	Unknown	
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